# Efficient Face Recognition System using Hybrid Methodology

By

Keyur Shah 12MCEI27



### DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING AHMEDABAD-382481

May 2014

# Efficient Face Recognition System using Hybrid Methodology

### **Major Project**

Submitted in partial fulfillment of the requirements

For the degree of

Master of Technology in Computer Science and Engineering (Information and Network Security)

> By Keyur Shah (12MCEI27)

Guided By Prof. Vijay Ukani



## DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING AHMEDABAD-382481

May 2014

### Undertaking for Originality of the Work

I, Keyur Shah, Roll. No.12MCEI27, give undertaking that the Major Project entitled "Efficient Face Recognition System using Hybrid Methodology" submitted by me, towards the fractional satisfaction of the necessities for the degree of Master of Technology in Computer Science and Engineering (Information and Network Security) of Nirma University, Ahmedabad, is the original work carried out by me and I give assurance that no attempt of plagiarism has been made. I understand that in the event of any similarity found subsequently with any published work or any dissertation work elsewhere, it will result in severe disciplinary action.

Signature of Student

Date:

Place:

Endorsed by

### Certificate

This is to certify that the Major Project entitled "Efficient Face Recognition System using Hybrid Methodology" submitted by Keyur Shah (12MCEI27), towards the partial fulfillment of the requirements for the degree of Master of Technology in Computer Science and Engineering (Information and Network Security) of Nirma University, Ahmedabad is the record of work carried out by him under my supervision and direction. As I would like to think, the submitted work has arrived at a level needed for being acknowledged for examination. The results epitomized in this real extend, to the best of my learning, haven't been submitted to any possible college or foundation for grant of any degree or certificate.

Prof. Vijay Ukani
Guide, Associate Professor,
PG-Coordinator(CSE),
Department of C.S.E.,
Institute of Technology,
Nirma University, Ahmedabad.

Prof. Sharada Valiveti
Associate Professor,
PG-Course Coordinator(INS)
Department of C.S.E.
Institute of Technology
Nirma University, Ahmedabad.

**Dr. Sanjay Garg** HOD [C.S.E. Dept.], Institute of Technology, Nirma University, Ahmedabad. **Dr. K. Kotecha** Director, Institute of Technology, Nirma University, Ahmedabad.

### Abstract

The most prosperous requisition of pictures investigation and sympathizing, face distinguishment has as of late acquired weighty consideration, uncommonly in present period. Facial Recognition System (FRS) has risen as a charming answer for location numerous contemporary desiderata for osmosing and the substantiation of character cases. It gathers the guarantee of other biometric frameworks, which try to attach personality to independently unique characteristics of the face.

Perceiving frontal face of individual by a machine framework is a charming and conundrum. Facial characteristic extraction comprises in limiting the most trademark face, for example, visual perceivers, nasal discerner, and mouth locales inside the face pictures that depict the human faces.

In this extend, the two most noticeable calculations i.e. PCA and LBP are presented and the cumulation of Principal Component Analysis (PCA) and Local Binary Pattern (LBP) is exhibited as our proposed approach in which the proposed methodology has accomplished 93.5% of addition in handling memory. LBP calculation is used as characteristic extractor of the face picture. LBP is used for their safety against transmuting frontal appearances. PCA calculation is used for measurement diminishment of the face vector. The perfect methodology has been tried on databases of individuals under diverse faces.

### Acknowledgements

I went over various individuals whose commitments in different ways helped my field of exploration and they merit exceptional much appreciated. It is a joy to pass on my appreciation to every one of them.

First and foremost, I would like to express my deep sense of gratitude and indebtedness to my supervisor **Prof. Vijay Ukani**, Associate Professor, PG-Coordinator(CSE), Department of Computer Science and Engineering, Institute of Technology, Nirma University Ahmedabad, for his invaluable encouragement, suggestions and support from an early stage of this research and providing me extraordinary experiences throughout the work. I specially acknowledge him for his guidance, advice, supervision, and the vital contribution as and when required during this research. I am proud to record that I had the opportunity to work with an exceptionally experienced Professor like him.

I am highly grateful to **Dr. Ketan Kotecha**, Hon'ble Director, Institute of Technology, Nirma University, Ahmedabad, **Dr. Sanjay Garg**, Hon'ble Head of Computer Science and Engineering Department, Institute of Technology, Nirma University, Ahmedabad and to **Prof. Sharada Valiveti**, Associate Professor, PG-Course coordinator(INS), Department of Computer Science and Engineering, Institute of Technology, Nirma University Ahmedabad.

I would also thank my Institution, all my faculty members and to all Staff members in Department of Computer Science for there support.

I am also a big thankful to Mr. Sandeep Kumar, Mr.Chirag Patel , and Mr. Nimesh Jivani for their great support and all my friends, colleagues for being with me throughout my dissertation work and for the support.

Last, however not the slightest, no words are sufficient to recognize steady help and offerings of my family and relatives due to whom I can finish my thesis work effectively.

Keyur Shah 12MCEI27

# Contents

Uı	ndert	aking for Originality of the Work	iii
Ce	ertific	cate	iv
A	ostra	ct	v
A	cknov	vledgements	vi
$\mathbf{Li}$	st of	Tables	ix
Li	st of	Figures	x
1	Intr	oduction	1
_	11	General	1
	1.1	Motivation	1
	1.2	Scope of Project	2
	1.4	Thesis Organization	$\frac{2}{2}$
<b>2</b>	Lite	rature Survey and Important Observations	3
	2.1	Behaviorism and Nerve system in FRS	3
	2.2	FRS a committed procedure in the cerebellum	4
	2.3	Face and grin apperception	4
	2.4	Color component in face apperception	4
	2.5	Equilibrium in FRS	5
	2.6	Face Recognition	5
	2.7	Face recognition system architecture	5
		2.7.1 Collective face apperception system	6
	2.8	Face detection	7
	2.9	Steps in the facial recognition process	8
		2.9.1 Identifying and Normalizing faces:	8
		2.9.2 Feature eradication and recognition:	9
	2.10	Distinguishment algorithm configuration perspectives	10
	2.11	Face recognition algorithms	10
	2.12	Summary	11
3	Met	hodology and Proposed Approach	12
	3.1	Revelation relying on the scheme	12
	3.2	Recognition strategies isolated into classes	13
	3.3	Face recognition: Various approaches	13

	3.4	Principal Component Analysis	14
	3.5	Local Binary Pattern	14
	3.6	Hybrid Face Recognition System	16
	3.7	Proposed Method	17
	3.8	Summary	18
4	Imp	lementation and results of proposed approach	19
	4.1	Implementation	19
	4.2	Local Binary Pattern	19
	4.3	Algorithm of LBP	20
	4.4	Output of LBP	20
	4.5	Principal Component Analysis	21
	4.6	Algorithm of PCA	21
	4.7	Output of PCA	22
	4.8	Hybrid Method	22
	4.9	Algorithm of Hybrid Method	23
	4.10	Output of Hybrid Method	24
	4.11	Result Analysis	24
	4.12	Summary	25
<b>5</b>	Con	clusion and Future Scope	26
	5.1	Conclusion	26
	5.2	Future Scope	26

# List of Tables

3.1	Comparision table based on various parameters	17
4.1	Required processing memory by different algorithms	25

# List of Figures

2.1 2.2 2.3	Model for Face recognition System	6 6 9
$3.1 \\ 3.2 \\ 3.3$	PCA. x and y are the first start. $\phi$ is the first important part of PCA The essential LBP speculator	15 15
	pixel	16
4.1 4.2 4.3 4.4	Comparison Output of LBP	20 22 24
	metnoa	25

# Chapter 1

# Introduction

### 1.1 General

The most relevant requisitions of picture dissection is face apperception. It incorporates face discovery as a preprocessing venture for face distinguishment, and as a controversy independent from anyone else, on the grounds that it comprises its intricacies and meetings, here and there absolutely not the same as face apperception. Meeting to create the automative framework which proportionate human capacity to apperceive faces. Despite the fact that people are great in distinguishing kenned appearances, for the same we people are not ready to apperceive or arrangement with a generous measure of obscure countenances. The workstation frameworks, with a basically illimitable memory and computational dexterity, ought to surmount the difficulty of recognizing people restraints.

#### 1.2 Motivation

The interest for the efficient face recognition algorithm i.e. apperceiving faces which is an emerging area of research in applications development, i.e. Apperceiving people for sundry purposes like access control, biometric access, personal security, etc. In such systems the input is taken as an image from the digital contrivances and after processing the input image the output is in form of pertinent personal information about the person.

## 1.3 Scope of Project

Objective of the project is to engender a efficient Face Recognition System which can work and should be applied in authentic world. It can be applied in minute scale organizations like Universities/Colleges, Industries, Hospitals. Application that can be utilized with as much facileness as possible for Recognition of person. Further with the sufficient information it can be applied Globally, like it can be utilized in Defence, Law Enforcement, Security Applications, etc.

### 1.4 Thesis Organization

The Thesis on "Efficient Face Recognition System using Hybrid Methodology" has been divided in chapters as follows:

- Chapter 2, Covers the basic overview of Face Recognition System, here we discuss various issues and componenets of FRS.
- Chapter 3, In this section the various algorithm like PCA, LBP with their features will get explored for the betterment of the fast recognition and here the proposed hybrid approach is discussed .
- Chapter 4, This section covers the implementation of PCA, LBP and the proposed approach with their results and comaprision.
- Chapter 5, Conclusion and future scope.

# Chapter 2

# Literature Survey and Important Observations

#### 2.1 Behaviorism and Nerve system in FRS

Understanding the way that how people agnize faces, for that a large number of the analyzer attempted for it, the vast majority of them when the programmed face apperception issue result, testing for configuration disclosure. It appears central to comprehend that how the people can capable do this errand, how we see people [1]. At that point this awareness could be connected in programmed face apperception frameworks. A large portion of FRS calculations don't use this information, it is using simply scientific executes. Through some inquiry have rised as:

- Which are the various and most important countenance required for programmed face distinguishment?
- Is human vision framework edify us subsidiary cerebrates in this respect?
- Is thier any way to enlight the quandary for FRS with the help of psychological studies?

This section will endeavor to answer some germane questions. In short, can the human face apperception strength avail to evolve a non-human face apperception framework?

### 2.2 FRS a committed procedure in the cerebellum

The paper that tended to this request was conveyed by Diamond and Carey in 1986 [2] They gave four examinations. They analyzed to ken if the test of apperceiving tangled faces was withal conventional in different class of jars. Meanwhile, they attempted to withdraw the explanation behind this difficulty. They consummated that faces were no select in the inclination of being spoke to in memory in the terms of exceptional attributes. This may recommended that, accordingly, defy apperception has not an exceptional spot in cerebellum. A more stupendous measure of the current studies indicated that face apperception is a devoted convert in our cerebellum[3]. It is recommended that there is an uncommon process in our cerebellum, and a true a bit of it, devoted to agnize human faces. The request still stays disregarded and it is an exceedingly wrangled issue. The fusiform face range (FFA) as a face refining module has all the earmarks of being incredibly energetic. It is liable for performing subordinate or expert level course of action of non particular things [4]. We can assume that there is a colossally enormous likelihood that individuals have a particular face recognition framework.

### 2.3 Face and grin apperception

It could be entrancing to ken if people can concentrate face autonomously from the character of the subject and the other way around. Is look a considerable imperative or condition in face apperception? Consequently, can an organic execution of a mechanized face apperception framework recognize confronts notwithstanding face? A considerable lot of the studies recommend that the personality and smile procedures separate at a young hour in the facial observation technique [4]. Whether face apperception calculation originators can discover this data utilizable or not, that its an alternate matter.

### 2.4 Color component in face apperception

Numerous face apperception calculations on't utilize shade as a face. Of course, it is to be dazzling to ken if shade accept a key enter part in human face apperception process. It is broadly acknowledged that color prompts don't give analytic data to apperception, yet they are not unmitigatedly offhand to face apperception frameworks. They could be proximately incidental when we attempt to apperceive chromatically related articles. On the other way, it has been shown that their expansion is crucial under corrupted conditions [5]. Thusly, shade indicators expect a focal part especially when shape prompts are corrupted. This trademark could be extrapolated to face apperception system plan.

### 2.5 Equilibrium in FRS

Acknowledging both the exotic and computational viewpoint the response is the same: agreed. It has been shown that a remarkable measurement lessening might be made by considering facial equilibrium [6]. The refered to research also consummated that there are short of what 72 measurements for human apperception framework. The reason is the relevance of human face related trait.

### 2.6 Face Recognition

Distinguishing proof of an individual by their facial pictures is carried out in various diverse routes, for example, by catching a picture of the face in the noticeable extent using an economical Polaroid or by using the infrared examples of facial high temperature discharge. Facial Recognition in unmistakable light ordinarily model key faces from the focal some piece of the facial picture using a wide grouping of Polaroids in obvious light framework concentrate faces from the caught pictures that don't transmute about whether while avoiding shallow characteristics, for example, look or hair [7]. Several approaches to model facial images in the visible gamut are Principal Component Analysis (PCA) and Local binary Pattern (LBP).

The showdowns of facial apperception in the noticeable extent incorporate lessening the effect of variable lightning and catching a shroud or picture. Some facial apperception frameworks may oblige a stagnant or mannered utilizer to catch picture through numerous frameworks, however numerous frameworks use a legitimate time procedure to discover an individual's head and place the face consequently. Real profits of facial apperception are that it is non meddling, hand free, never-ending and acknowledged by most clients[7].

### 2.7 Face recognition system architecture

Face Recognition is a term that comprises of a few sub-binds. distinctive assignments of these dilemmas. Some of them will be clarified in this area. At last, a general or bound



Figure 2.1: Model for Face recognition System.
[7]

together a productive FRS algorithm/strategy will be proposed.

#### 2.7.1 Collective face apperception system

A picture or feature stream is given as information of a face apperception framework. The yield is an affirmation or substantiation of the subject or subjects that show up in the picture or feature gave as an information. A few methodologies [8] characterize a face apperception framework as a three stage process - see Figure 2.2. Starting here of perspective, the Face Detection and Feature Extraction stages could run in the meantime.



Figure 2.2: Collective Face recognition System.
[8]

Face identification is characterized as the system of concentrating appearances from info picture. The framework decidedly distinguishes a persuaded picture district as a face. This strategy has numerous provisions like face following, stance estimation or squeezing. The following step -characteristic extraction- includes getting apropos facial characteristics from the information. These qualities could be influenced face locale, mixed bags, focuses or measures, which may be human fitting (e.g. visual perceivers scattering) or not. At long last, the framework does agnize the face. In a recognizable proof undertaking, the framework might report a personality from a database. This stage includes an examination strategy, an assignment calculation and an exactness measure.

#### 2.8 Face detection

A few requisitions nowdays of Face Apperception needn't bother with face identification. Face pictures put away in the information bases are as of now settled in huge numbers of cases. Absolute picture information organization is utilized, so there is no objective for an identification step. Transgressor information base could be recognized as an illustration for this. There, the law approval org stores faces of people with a transgressor report. On the off chance that there is ahead of schedule subject and the police has his or her global ID picture, face distinguishment is not essential. Then again, the typical info picture of workstation perception frameworks are not that perfect. They can hold numerous faces.in these cases face distinguishment is needed. It is withal ineluctable in the unlikely event that we optate to make a robotized face succeeding skeleton. For example, characteristic perception schemas endeavor to join face distinguishment, succeeding and apperceiving. So, it is plausible to postulate face detection as a component of the more substantial face apperception quandary. Face apprehension must arrangement with a few conspicuous difficulties. [9] [8]. They are generally display in pictures caught in uncontrolled situations, for example, reconnaissance feature frameworks. These difficulties might be ascribed to a few components:

- Pose deviation: The perfect situation for face recognition might be one in which just frontal pictures were included. In addition, the execution of face recognition calculations drops thoroughly when there are sizably voluminous posture varieties. It is a significant exploration issue. Posture variety can transpire due to subject's types of kineticism or camera's point.
- Characteristic impediment: The vicinity of components like glasses, whiskers or caps presents high flippancy. Confronts can furthermore be mostly secured by articles or different countenances.

- Facial expression: Facial characteristics withal fluctuate significantly on account of distinctive facial signals.
- Envision circumstances: Distinctive Polaroids and ambiental conditions can impact the way of a picture, affecting the appearance of a face.

### 2.9 Steps in the facial recognition process

The facial apperception transform usually has four integral stages or steps. The essential step is face disclosure, the second is institutionalization, the third is trademark extraction, and the last consolidated step is face apperception. These steps depend on upon each one in turn and normally use homogeneous systems. They may likewise be depicted as discrete portions of a standard FRS. In light of present circumstances, it is utilizable to keep them competently separate for the reasons of pellucidity. Each of these steps postures uncommonly huge challenges to the prosperous operation of a FRS. Figure 2.3 betokens the authentic game plan of the various steps.

#### 2.9.1 Identifying and Normalizing faces:

Recognizing a face in a picture may be a generally basic undertaking for people, yet it is not so for a machine. The workstation need to choose which pixels in the picture is a segment of the face and which are definitely not. In an ordinary travel permit photograph, where the foundation is pellucid, it is easy to do, yet when the foundation gets scattered with different articles, the issue gets to be significantly intricate.[3] [4] Traditionally, techniques that focus on facial milestones, (for example, visual perceivers), that get face-like colors in round zones, or that use standard trademark configurations, were acclimated to recognize faces.

Once the face has been recognized (differentiated from its encounter), the face needs to be institutionalized. This indicates that the picture must be institutionalized as far as size, stance, light, and so on. With respect to the pictures in the exhibition or reference database. Accordingly, it is vital that the test is as proximate as could be expected under the circumstances to an institutionalized face. Facial points of interest are the way to all frameworks, independent of the general technique for apperception. In the event that the facial points of interest can't be placed, then the apperception methodology will fall flat. Apperception can just thrive if the test picture and the display pictures are indistinguishably commensurate as far as posture introduction, turn, scale, size, and so on. Standardization discovers that this related quality is accomplished to a more dominant or lesser degree.



Figure 2.3: Steps in the facial distinguishment process.

#### 2.9.2 Feature eradication and recognition:

When the face picture has been standardized, the characteristic extraction and apperception of the face can happen. In characteristic extraction, a scientific representation called a biometric format or biometric reference is induced, which is put away in the database and will make the substratum out of any apperception task.[9] Facial apperception calculations vary in the way they interpret or change a face picture (spoke to as of right now as grayscale pixels) into an improved numerical representation (the "characteristics") to perform the apperception undertaking. It is foremost for prosperous apperception that maximal data is held in this conversion transform so that the biometric format is sufficiently distinctive.[10] If this can't be accomplished, the calculation won't have the separating capacity needed for prosperous apperception. The pickle of biometric layouts from distinctive people being deficiently different or excessively proximate to one another. It is in this procedure of scientific change (characteristic extraction) and matching (apperception) of a biometric layout that specific calculations vary essentially in their methodology. We will just condense a portion of the work and mean a percentage of the issues that identify with the distinctive methodologies.[18]

# 2.10 Distinguishment algorithm configuration perspectives

The most clear face qualities were utilized within the start of face apperception. It was a sensible technique to duplicate human face apperception limit. There was an effort to attempt to quantify the hugeness of certain natural aspects [10] (mouth, visual perceivers, cheeks) and geometric measures (between-visual perceiver detachment, widthlength degree). Nowadays is still a related issue, for the most part in light of the way that throwing certain facial qualities or parts of a face can provoke a more common execution. At the end of the day, its significant to choose which facial characteristics help a great apperception and which ones are no more dominant than coordinated commotion. Be that as it may, the exordium of theoretical scientific executes like eigenfaces [28] [11] induced an alternate methodology to face apperception. It was possible to enlist the homogeneous attributes between defies upsetting those human-applicable aspects. This early perspective engaged a beginning reflection level, relinquishing the human-driven philosophy. There are still some human-appropriate qualities that are always recognized. For example, skin color [12] is a crucial trademark for face recognizable proof. The territory of particular attributes like mouth or visual perceivers is withal used to perform an institutionalization going before the trademark extraction step [8]. To total up, a planner can apply to the figurings the recognition that cerebrum science, neurology or fundamental observation give.

### 2.11 Face recognition algorithms

The early work in face apperception was predicated on the geometrical connections between facial points of interest as a practical to catch and concentrate facial characteristics. This technique is prominently profoundly reliant on the location of these milestones which may be exceptionally challenging is varieties in light, particularly shadows and also the solidness of these connections crosswise over stance variety. These binds were and still stay central hindrances for face discovery and apperception. This work was trailed by an alternate approach in which the face was dealt with as a general example with the requisition of more general example apperception approaches, which are predicated on photometric qualities of the image.

These two beginning stages: geometry and the photometric methodology are still the basic beginning stages for engineers of facial apperception calculations. To actualize these methodologies a sizably voluminous mixed bag of calculations have been produced. Here we will highlight two of the most significant streams of work: Principal Component Analysis(PCA), Local Binary Pattern(LBP).

### 2.12 Summary

Literature survey emphasis the basic overview of Face Recognition System, here we discussed various issues and componenets of FRS, from the begining of survey the problems are with face recognition is like pose variations, expressions of face, occlusions, etc. To deal with all the problems we also have discussed the issues for designing efficient face recognition system using different algorithms and after implementation we will compare the results.

# Chapter 3

# Methodology and Proposed Approach

It's not simple to give a cytology of face recognition strategies. universally acknowledged gathering criteria. They usually commix and cover. In this segment, two transfer precedent will be displayed. One of them separates between unique situations. Contingent upon these situations distinctive methodologies may be required. The other precedent partitions the recognition calculations into sundry classifications.

### **3.1** Revelation relying on the scheme

- **Restrained surrounding:** It is the most direct case. Photos are taken under restrained light, foundation, and so on. Straightforward edge location strategies could be habituated to recognize faces.
- Shade pictures: The normal skin shades could be habituated to uncover faces. They could be feeble if light surroundings get change. Moreover, human skin color transmutes a ton, from proximately white to for all purposes and reason dark. In any case, a couple of studies show that the genuine qualification lies between their energy, so chrominance is an extraordinary feature[9]. It's not easy to secure a strong human skin color representation. Regardless, there are endeavors to make generous face area figurings predicated on skin shade[12].

### 3.2 Recognition strategies isolated into classes

Kriegman and Ahuja displayed an assignments that is overall acknowledged [9]. Techniques are partitioned into four classes. These classes may cover, so a calculation could have a place with two or more classifications. This assignment could be made as takes after:

- **Knowledge-based strategies:** Ruled-predicated routines that encode our insight of human appearances.
- Feature-invariant strategies: Algorithms that try to discover invariant characteristics of a face regardless of its point or position.
- **Template matching strategies:** These algorithms contrast information pictures and put away examples of confronts or characteristics.
- **Countenance-based strategies:** A layout identical strategy whose example database is learnt from a situated of preparing pictures.

### **3.3** Face recognition: Various approaches

Face apperception is a developing range, transmuting and improving interminably. Numerous examination ranges influence face apperception - machine vision, optics, design apperception, neural systems, machine taking in, psycology, and so forth. Past areas explain the distinctive steps of a face apperception process. There is not an accord on that respect. All these components obstruct the advancement of a bound together face apperception calculation transfer scheme.

Face apperception calculations could be consigned as either geometry predicated or format predicated calculations [13] [14]. The format predicated routines contrast the info picture and a set of formats. Strategies, or Trace Transforms. The set of templates can be costructedusing statistical implements like Suppor Vector Machines (SVM) [15], Principal Component Analysis (PCA) [16] [17] [18], Linear Discriminant Ananlysis (LDA)[19], Local Binary Pattern (LBP) [26], Independent Component Analysis (ICA) [20] [21] [22], Kernel Methods, or Trace Transforms. The geometry characteristic predicated techniques investigate neighborhood facial characteristics and their geometric connections. This methodology is now and then called characteristic predicated methodology [10]. There are calculations created using both methodologies. Case in point, a 3d morphable model methodology can use characteristic focuses or surface and PCA to construct an apperception framework [23].

### **3.4** Principal Component Analysis

A standout amongst the most utilized and referred to measurable system is the Principal Component Analysis (PCA) [25] [11] [18]. The numerical strategy that performs a dimensionality decrease by concentrating the vital segments of the multi-dimensional information. The principal chief part is the direct blend of the first measurements that has the most astounding anxiety. The n-th main segment is the straight synthesis with the most extreme anxiety, being equilateral to the n-1 first important segments.

Usually the mean X is extracted from the data. So, let Xn Xm would be the data matrix where X1, ..., Xm are the image vectors i.e. (vector columns) and n is the number of pixels per image. The KLT premise is procure by solving the eigenvalue problem.

$$C_x = \phi \Lambda \phi^T \tag{3.1}$$

Here, Cx is the required covariance matrix of the data

$$C_x = \frac{1}{m} \sum_{i=1}^{m} x_i x_i^T$$
 (3.2)

 $\Phi = [\phi_1, \dots, \phi_n]$  is the eigenvector framework of Cx. A is an inclining grid, the eigenvalues  $\lambda_1, \dots, \lambda_n$  of Cx are found on its fundamental corner to corner.  $\lambda_i$  is the change of the information anticipated on  $\phi_i$ .

#### 3.5 Local Binary Pattern

The immaculate LBP administrator, presented by Ojala et al [26], is a puissant indicates of composition portrayal. The administrator marks the pixels of a picture by thresholding the  $3 \times 3$  area of every pixel with the focal point esteem and acknowledging the result as a parallel number. At that point the histogram of the marks could be used as a composition descriptor. See Figure 3.2 for a representation of the simple LBP administrator. Later the administrator was lengthened to use neighborhoods of distinctive sizes [8]. Using



Figure 3.1: PCA. x and y are the first start. $\phi$  is the first important part of PCA. [1]

round neighborhoods and bilinearly inserting the pixel qualities endorse any sweep and number of pixels in the area. will use the documentation (P, R) which betokens P testing focuses on a ring of range of R. See Figure 3.3 for a sample of the roundabout (8,2) area. An alternate enlargement to the immaculate administrator utilizes soi-disant uniform examples [8]. A Local Binary Pattern is called uniform in the event that it holds at most two bitwise moves from 0 to 1 or the other way around when the paired string is viewed as roundabout. For instance, 00000000, 00011110 and 10000011 are uniform examples. Perceived that in their explores different avenues regarding surface pictures, uniform examples represent barely short of what 90% of all examples when using the (8,1) area and for around 70% in the (16,2) area



Figure 3.2: The essential LBP speculator. [26]

We use notation for the LBP operator  $LBP^{u}2_{p,r}$  The subscript speaks to using the administrator in a (P, R) neighborhood. Superscript u2 remains for using just uniform examples and naming all remaining examples with a solitary mark. A histogram of the



Figure 3.3: The roundabout (8,2) neigbourhood. The pixel qualities are bilinearly introduced at whatever point the examining point is not in the core of a pixel. [26]

named picture fl(x, y) might be characterized as

$$H_i = \sum_{x,y} \tag{3.3}$$

I fl(x, y) = i, i = 0,...,n-1, in which n is the amount of diverse names caused by the LBP administrator and IA=if 1 A is TRUE else for 0 it is FALSE. This histogram holds information about the course of the adjacent micropatterns, for instance, edges, spots and even zones, over the whole picture. For gainful face representation, one should hold withal spatial information. For this indicate, the picture is separated into districts R0,r1, . . . Rm-1 and the spatially improved histogram is characterized as

$$H_i = \sum_{x,y} \tag{3.4}$$

I fl(x, y) = i I (x, y)  $\epsilon$  Rj, i = 0, . . . , n.1, j = 0, . . . , m-1. In this histogram, we usefully have a portrayal of the face on three separate gauges of area: the names for the histogram hold data about the examples on a pixel-level, the marks are summed over a moment district to incite data on a provincial level and the territorial histograms are connected to manufacture a worldwide depiction of the face.

#### 3.6 Hybrid Face Recognition System

LBP is appropriate for characteristic vector required for quick handling. In the previous ten years, the administrator has been broadly used in surface transfer, picture recovery and different territories, for example, facial picture dissection. On account of the immediate and straightforward computation, unfeelingness to the light and revolution, ability for catching picture detail, the administrator can remove the examples of nearby area which are more favorable. The picture might be acknowledged as a specimen of a stochastic methodology, if the picture components are of aimless variables sort [31]. The PCA substratum vectors are characterized as the eigenvectors of the disseminate lattice. PCA method endorses the framework to speak to the irreplaceable data for thinking about the confronts using the little data once the numerical representation achieved which it is have to have a plenitude of appearances to be store.pca is utilizable in straight relapse in a few ways Identification and end of multi-colinearities in the information . PCA ventures the information along the headings where the information changes the most. The eigenvectors computed from the covariance grid relates to the most colossally gigantic Eigen values. The greatness of the Eigen qualities relates to the change of the information along the eigenvector bearings[32].

[10], [20], [20], [20], [32]			
Parameters	PCA	LBP	Hybrid Method
Binary Patterns	NO	YES	YES
Computational Simplicity	NO	YES	YES
Time Required	LESS	MODEARTE	VERY LESS
Effect of Facial Expressions	HIGH	LESS	LESS
Different Lighting Conditions	MODERATE	LESS	LESS
Effect of Orientation	HIGH	LESS	LESS

Table 3.1: Comparision table based on various parameters[18][25][26][32]

### 3.7 Proposed Method

LBP benefits to apperceive face picture with moment introduction, enlightenment fluctuations and interpretation. PCA will decrease the length of the characteristic vector. LBP administrator works with 8 neighbors of pixel, using quality of focus pixel as a limit. All neighbors that have values higher than the quality of focal pixel will be given esteem 1 and each one of those that have easier or equipollent to esteem of focal pixel will be given esteem 0.the eight paired numbers connected with 8 neighbors are then perused successively in the clockwise bearing to make a parallel number. This paired number or its equipollent in decimal framework may be allocated to focal pixel. The LBP characteristic vector, in its easiest structure, Divide the analyzed window to cells (e.g.  $33 \times 28$  pixels for each cell) For every pixel in a cell, contrast the pixel with each of its 8 neighbors. Where the inside pixel's quality is more dominant than the neighbor, indite "1". Generally, indite "0". This will give an 8-digit binary number (which is customarily converted to decimal for accomodation). This twofold number will be acknowledged in clockwise heading. Figure the histogram, over the phone, of the recurrence of each one "number" happening (i.e., every combination of which pixels are more moment and which are more dominant than the middle). Alternatively standardize the histogram. Link standardized histograms of all cells. This will give the characteristic vector for the window. Neighborhood Binary Pattern has been connected to standardize pictures under differing enlightenments and expression.

PCA has been acknowledged as a straightforward, effective straight subspace strategy, numerous nonlinear systems, for example, bit PCA might be used. Certain nonlinear strategies with specific classifiers do yield more prevalent exhibitions reliably than others. The accompanying works might be completed in future to revise the face apperception. In this approach we used Training dataset consists of 760 images of dimension  $180 \times 200$  of 152 different faces with 5 variations in expressions. Test dataset which is utilized as input consists of 304 images of dimension  $180 \times 200$  of 152 different faces with 2 variations in expressions. Facial features are extracted from the LBP face image and then image is divided into 10 regions LBP histograms are engendered for each window region. The engendered vector values is inputted to PCA for dimension reduction. The input test image will be checked with set of train images After matching the test image, the results are shown in Ranking order, i.e. first best match will be shown first.

### 3.8 Summary

The various algorithm like PCA, LBP with their features are studied for the betterment of the fast recognition. In addition to that here we are proposing the hybrid face recognition system which is a combination of two most used algorithms in face recognition i.e. LBP and PCA algorithms.

# Chapter 4

# Implementation and results of proposed approach

### 4.1 Implementation

This work implemented the LBP, PCA and proposed Hybrid approach in Matlab Version 7.12.0.635 (R2011a) 64-bit(win64), for image database, we used ESSEX database which consists of 152 individual images of person [33] female(20), male(132) with little variations in frontal face expressions. In this we have selected 304 images as input of 152 individual images with 2 variations each to test against trained database of 760 images of 152 individual images with 5 variations each, and after processing the result is shown in ranked order i.e. first best match will show at first position.

### 4.2 Local Binary Pattern

By partitioning the inspected window into cells (e.g.  $16 \times 16$  pixels for each cell) For every pixel in a cell, contrast the pixel with each of its 8 neighbors (on its left-beat, left-center, left-base, right-best, and so on.). Take after the pixels along a loop, i.e. Where the inside pixel's worth is more dominant than the neighbor's quality, indite "1". Overall, indite "0". This gives a 8-digit twofold number (which is ordinarily changed over to decimal for accomodation). Register the histogram, over the phone, of the recurrence of each one "number" happening (i.e., every amalgamation of which pixels are more moment and which are more dominant than the focal point). Alternatively standardize the histogram. Link (standardized) histograms of all cells. This gives the characteristic vector for the window. The algorithm for LBP is as, where I is number of images, neigh is neighbouring cell, WHT is the weight of neighbouring pixels to engender the histogram Histo.

## 4.3 Algorithm of LBP

input : I,WHT
output: Histo
<b>INIT</b> Histo[] to $0$ ;
<b>INIT</b> t[] to $0$ ;
foreach pixel in I do
foreach element $k$ in neigh do
if $neigh/k$ is greater than pixel then
<b>SET</b> $t[k]$ to 1;
end
end
<b>SET</b> LBPCode to sumof(WHT $^{*}$ t);
<b>ADD</b> 1 to hist[LBPCode];
end

Algorithm 1: LBP using parallel approach

# 4.4 Output of LBP

As shown in the Fig: 4.1 the simulation takes place in matlab by inputting the image no. after processing the image the result is shown below as.



Figure 4.1: Comparison Output of LBP.

### 4.5 Principal Component Analysis

Dimension Reduction Technique is the first step of PCA. In this we will create a matrix of no. of Images arranged in Columns(n) and the no. of pixels of image in arranged in Row(m) as an input I. After this in second step we will calculate the mean, finding covariance matrix i.e.  $C=A^*A(T)$ . Center portion of image is calculated by subtracting the covariance from column (pixel of original image). Eigen value is equals to no. of image no. of pixels. It will create matrix of [E,V] Eigen matrix. Eigen Faces is equal to Centered \* Vectors. We have to calculate the ratio of centered value by vector. The largest value of the ratio will be selected and the Eigen face matrix is calculated. The algorithm for PCA is as, where I is number of images, N is the output, STR is the string which stores the converted image number as string, M is for calculating mean value.

## 4.6 Algorithm of PCA

```
input : I
output: N
  foreach image-no in train-number do
        STR = Convert intiger-to-string(image-no);
        STR = Concatinate (Str, image-type);
        STR = Concatinate (train-database-path,Str);
        \mathbf{I} = \text{image-read}(\text{STR});
        \mathbf{I} = \text{Convert rgb-to-gray}(\mathbf{I});
        [image-no-row image-no-col] = size(image);
        temp = Reshape(image,image-row*image-col);
        \mathbf{T} = [T \text{ temp}]; \mathbf{end}
        \mathbf{M} = \text{MEAN}(\mathbf{I}) \mathbf{A} = \text{A-M}
        \mathbf{C} = \mathrm{TRANSPOSE}(\mathbf{A})^*\mathbf{A}
        [U,S,V] = Eigen(C)
        Ureduce = U(:,1:K);
        \mathbf{Z}=TRANSPOSE(Ureduce)*TRANSPOSE(I); \mathbf{N}=TRANSPOSE(Z);
  end
```

Algorithm 2: Principal Component Analysis

# 4.7 Output of PCA

The simulation takes place in matlab by inputting the image no. after processing the caomparision is shown as in Fig: 4.2.



Figure 4.2: Comaprision Output of PCA.

# 4.8 Hybrid Method

Selecting dataset to Train and Test the images. Applying LBP to get the frontal facial feature and extracting facial countenance then applying PCA to extracted features this will generate reduced dimension feature vector of the images. Comparing the test input image to the trained dataset and the result is shown in ranked order. The algorithm for Hybrid method is as, where I is number of images, WHT is the weight of neighboring pixels to generate the histogram Histo, STR is the string which stores the converted image number as string, M is for calculating mean value. Here the input to the PCA is the generated histogram Histo.

## 4.9 Algorithm of Hybrid Method

```
input : I,WHT
output: Histo
INIT Histo[] to 0;
INIT t[] to 0;
  foreach pixel in I do
       foreach element k in neigh do
        if neig[k] is greater than pixel then
            SET t[k] to 1;
        end
       end
       SET LBPCode to sumof(WHT*t);
       ADD 1 to histo[LBPCode];
       \mathbf{I} = \mathrm{Histo}
       \mathbf{M} = \text{MEAN}(\mathbf{I})
       \mathbf{A} = A - M
       \mathbf{C} = \mathrm{TRANSPOSE}(\mathbf{A})^*\mathbf{A}
       [U,S,V] = Eigen(C)
       Ureduce =U(:,1:K);
       \mathbf{Z}=TRANSPOSE(Ureduce)*TRANSPOSE(I); \mathbf{N}=TRANSPOSE(Z);
       MIN=999;
       foreach i=1 to no-of-images do
        Dist(i)=N(i)-Query(i)
        if Dist(i) less than MIN then
            MIN = Dist(i) POS = i
        end
       end
  end
```

Algorithm 3: Hybrid Approach

### 4.10 Output of Hybrid Method

In the proposed approach the output of the comaprision is displayed in ranked order i.e the first best match result is shown first , second best match is shown on second position like wise, the result is simulated as in Fig: 4.3



Figure 4.3: Output in ranked order.

### 4.11 Result Analysis

Main Component dissection (PCA) is a commendable technique for discovering examples in information with capability to express it in a manner that related qualities and contrasts are centered. As the dimensionality of information increments discovering examples in information get more burdensome, PCA is an extraordinary execute for this imply. Neighborhood Binary Pattern (LBP) is a basic and exceptionally proficient composition administrator. It causes the twofold example of each pixel of a picture. The most central property of LBP administrator in real world provisions is its power to monotonic ash scale changes. It is withal computationally straightforward. In PCA Eigen faces, we require rows columns i.e. if image sizes  $256 \times 256$  then 65535 pixels have to be stored. In LBP an image is represented by a feature vector of length 768. PCA require 1572840

bytes of processing recollection for single image, LBP requires 116736 bytes of processing recollection for single image. In hybrid approach the output of LBP i.e. 768 values is compressed utilizing PCA to 50 values. So using hybrid approach an image can be represented using a feature vector of length 50 and the result is also not compromised. Using hybrid approach by implementing first LBP in our algorithm we need 116736 bytes of processing memory, after applying the PCA to this input we now need only 60800 bytes, 93.5 % gain in processing memory is achieved.

Algorithm	Processing Memory
PCA	1572840 Bytes
LBP	116736 Bytes
Hybrid Method	60800 Bytes

Table 4.1: Required processing memory by different algorithms



Figure 4.4: Comparison of processing memory required by LBP, PCA, and Hybrid method

#### 4.12 Summary

Various algorithms are implemented and simulated using matlab, the processing memory required to train the database in PCA is 1572840 Bytes, 116736 Bytes for LBP and 60800 Bytes using our proposed approach. In proposed approach i.e using Hybrid Methodology 93.5 % of gain in processing memory is achieved.

# Chapter 5

# **Conclusion and Future Scope**

### 5.1 Conclusion

This work has presented the different algorithms, the proposed approach and various algorithms with their efficiency. The algorithms PCA, LBP and Hybrid approach are studied and implemented the results were analysed and from that we can conclude that though the LBP requires less processing memory, and if we have large number of image database the required processing memory as compare to PCA would be less. The hybrid approach will make some good difference in terms of reduction to processing memory (i.e. 93.5% gains) as compare to these existing algorithms. Face apperception frameworks utilized today work extremely well under obliged conditions, though all frameworks work a great deal more dominant with frontal pictures and steady lighting. Face apperception frameworks utilized today work exceptionally well under obliged conditions, but all frameworks work substantially more prevalent with frontal pictures and consistent lighting. Engineering used in canny situations must be limited and approval clients to act liberatingly. Accordingly, it isnt simply an uncertain predicament yet withal the well-spring of beginning provisions and difficulties.

### 5.2 Future Scope

Face apperception frameworks utilized today work extremely well under prohibitive and short of what impeccable conditions. All frameworks work a great deal more dominant with pictures in which an individual faces the Polaroid and the lighting is consistent. All present face apperception calculations fizzle under the cosmically fluctuating conditions under which individuals are obliged to and can apperceive other individuals. Cutting edge face apperception frameworks will require to recognize individuals in legitimate time and in considerably more prohibitive circumstances. We accept that recognizable proof frameworks that are vigorous in characteristic situations, in which clamor is available and light changes are differing and regularly quick, can't depend on a solitary modality; henceforth combination with different modalities is essential.

Technology used in adroit situations ought not be prominent or impertinent and ought to authorize clients to act liberatingly. Wearable frameworks oblige their sensing engineering to be minute, low controlled and effortlessly integrable with the utilizer's clothing. Looking into all the imperatives, distinguishing proof frameworks that utilization face apperception appear to have the most potential for boundless provision. Polaroids and mouthpieces today are modestly little, light-weight and have been prosperously incorporated with wearable innovation. Sound and feature predicated apperception frameworks have the discriminating point of interest that they use the modalities that individuals use for apperception. At last, specialists are starting to show that inconspicuous sound and-feature predicated individual recognizable proof frameworks can acquire high apperception rates without requiring the utilizer to be in consistent, standard situations.

# Bibliography

- [1] Ion Marques, Face Recognition Algorithms, Proyecto Fin de Carrera, http://www.ehu.es/ccwintco/uploads/e/eb/PFC-IonMarques.pdf., June2010.
- R. Diamond and S. Carey. Why faces are and are not special. an effect of expertise. Journal of Experimental Psychology: General, 115(2):107-117, 1986.
- [3] S. Bentin, T. Allison, A. Puce, E. Perez, and G. McCarthy. Electrophysiological studies of face perception in humans. Journal of Cognitive Neuroscience, 8(6):551565, 1996.
- [4] P. Sinha, B. Balas, Y. Ostrovsky, and R. Russell. Face recognition by humans: nineteen results all computer vision researchers should know about. Proceedings of the IEEE, 94(11):1948-1962, November November 2006.
- [5] A. W. Yip and P. Sinhao. Contribution of color to face recognition. Perception, 31:9951003, 2002.
- [6] L. Sirovich and M. Meytlis. Symmetry, probability, and recognition in face space.
   PNAS Proceedings of the National Academy of Sciences, 106(17):68956899, April 2009.
- [7] Study of Different Algorithms. http://ethesis.nitrkl.ac.in/1701/2/B.pdf.
- [8] W. Zhao, R. Chellappa, A. Rosenfeld, and P. Phillips. Face recognition: A literature survey. ACM Computing Surveys, pages 399-458, 2003.
- [9] M.-H. Yang, D. Kriegman, and N. Ahuja. Detecting faces in images: A survey. IEEE Transactions on Pattern Analysis and Machine Intel- ligence, 24(1):34-58, January 2002.

- [10] R. Brunelli and T. Poggio. Face recognition: Features versus templates. IEEE Transactions on Pattern Analysis and Machine Intelli- gence, 15(10):1042-1052, October 1993.
- [11] M. Kirby and L. Sirovich. Application of the karhunen-loeve procedure for the characterization of human faces. IEEE Transactions on Pattern Analysis and Machine Intelligence, 12(1):103-108, 1990.
- [12] S. K. Singh, D. S. Chauhan, M. Vatsa, and R. Singh. A robust skin color based face detection algorithm. Tamkang Journal of Science and Engineering, 6(4):227234, 2003.
- [13] L. Torres. Is there any hope for face recognition? In Proc. of the 5th International Workshop on Image Analysis for Multimedia Interactive Services, WIAMIS, Lisboa, Portugal, 21-23 April 2004.
- [14] R. Gross, J. Shi, and J. Cohn. Quo vadis face recognition? the current state of the art in face recognition. Technical report, Robotics Institute, Carnegie Mellon University, Pittsburgh, PA, USA, June 2001.
- [15] G. Guo, S. Li, and K. Chan. Face recognition by support vector machines. In Proc. of the IEEE International Conference on Automatic Face and Gesture Recognition, pages 196-201, Grenoble, France, March 2000.
- [16] B. Moghaddam and A. Pentland. Probabilistic visual learning for object representation. IEEE Transactions on Pattern Analysis and Ma- chine Intelligence, 19(7):696-710, July 1997.
- [17] M. Turk. A random walk through eigenspace. IEICE Transactions on Information and Systems, E84-D(12):1586-1595, December 2001.
- [18] M. Turk and A. Pentland. Eigenfaces for recognition. Journal of Cog- nitive Neurosicence, 3(1):71-86, 1991.
- [19] P. Belhumeur, J. Hespanha, and D. Kriegman. Eigenfaces vs. fisherfaces: Recognition using class specific linear projection. IEEE Trans- actions on Pattern Analysis and Machine Intelligence, 19(7):711-720, July 1997.

- [20] M. Bartlett, J. Movellan, and T. Sejnowski. Face recognition by independent component analysis. IEEE Trans. on Neural Networks, 13(6):1450-1464, November 2002.
- [21] C. Liu and H. Wechsler. Face recognition using evolutionary pursuit. In Proceedings of the Fifth European Conference on Computer Vision, ECCV'98, volume 2, pages 596-612, Freiburg, Germany, 1998.
- [22] C. Liu and H. Wechsler. Comparative assessment of independent component analysis (ica) for face recognition. In Proc. of the Second In- ternational Conference on Audioand Video-based Biometric Person Authentication, AVBPA'99, Washington D.C., USA, March 1999.
- [23] V. Blanz and T. Vetter. Face recognition based on fitting a 3d morphable model. IEEE Transactions on Pattern Analysis and Machine Intelligence, 25(9):10631074, September 2003.
- [24] A. Nefian. Embedded bayesian networks for face recognition. In Proc. of the IEEE International Conference on Multimedia and Expo, volume 2, pages 133-136, Lusanne, Switzerland, August 2002.
- [25] L. Sirovich and M. Kirby. Low-dimensional procedure for the characterization of human faces. Journal of the Optical Society of America A- Optics, Image Science and Vision, 4(3):519-524, March 1987.
- [26] Timo Ahonen, Abdenour Hadid, and Matti Pietikainen Face Recognition with Local Binary Patterns. Machine Vision Group, Infotech Oulu, FIN-90014 University of Oulu, Finland.
- [27] N. Ahmed, T. Natarajan, and K. R. Rao. Discrete cosine transform. IEEE Transactions on Computers, 23:90-93, 1974.
- [28] L.I. Smith, "A tutorial on Principal Component Analysis", Cornell University, USA, 2002.
- [29] CHETAN BALLUR, SHYLAJA S S, "APPLICATION OF LOCAL BINARY PAT-TERN AND PRINCIPAL COMPONENT ANALYSIS FOR FACE RECOGNI-TION", International Journal of Electrical, Electronics and Data Communication, P.E.S.I.T, Bangalore.

- [30] Mallikarjuna Rao G,Vijaya Kumari G, Babu G R, Rajesh V, "Fast Local Binary Patterns for Efficient Face Recognition", Internat. J. of Sci. and Eng. Vol. 2(2):22-26, Dec. 2011.
- [31] T.Ahonen, A.Hadid and M. Pietikainen. Face recognition with Local Binary Patterns. Machine Vision Group, University of Oulu, Finland,2004.
- [32] Etemad, K., Chellappa, R.: Discriminant analysis for recognition of human face images. Journal of the Optical Society of America 14 1997.
- [33] Computer Vision Science Research Projects. http://cswww.essex.ac.uk/mv/allfaces